Appl. No. 09/776,379 Amdt. Dated April 17, 2007 Reply to Office Action of January 26, 2007 Attorney Docket No. 82347.0002 Customer No.: 26021

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas A. Rhee

Serial No: 09/776,379 Confirmation No.: 8077

Filed:

February 2, 2001

REAL LIFE IMPLEMENTATION OF MODERN PORTFOLIO THEORY

> (MPT) FOR FINANCIAL PLANNING AND PORTFOLIO MANAGEMENT

AMENDMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Art Unit: 3693

Examiner: Jagdish Patel

I hereby certify that this correspondence is being transmitted via electronic filing on the date indicated below to:

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

April 17, 2007

Repecca Maiden
Maiden 04/17/07
Signature Date

In response to the Office Action of January 26, 2007, please amend the aboveidentified application as follows:

Amendments to the claims are reflected in the Listing of Claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.